

## FORM-5

*{See section 4 of the Act and rule 15(1)(c)}*

**Application for seeking prior approval of the National Biodiversity Authority to use the results of research for commercial utilisation by the transferee.**

<b>(1) Particulars of applicant (Transferee)</b>	
(i)	Category of the applicant (Drop down menu) a. Individual b. Individual and Entity c. Entity
(ii)	Name of the applicant
(iii)	Status of the applicant (Drop down menu) a. NRI b. Non-Indian c. Entity covered under section 3(2) of the Act
(iv)	Address of the applicant (Postal address including PIN code, email, mobile, alternate mobile number and/or landline number)
(v)	Profile of the individual/entity
(vi)	Nature of the business (applicable if the applicant is an entity)
(vii)	Turnover of the organization in Indian rupees (applicable if the applicant is an entity)
(viii)	Authorized representative (applicable if the applicant is an entity) (Postal address including PIN code, email, mobile, alternate mobile number and/or landline number)
(ix)	Contact person in India (If any) (Postal address including PIN code, email, mobile, alternate mobile number and/or landline number)
<b>(2)</b>	<b>Details of the approval obtained from the Authority by the transferor or person from whom results of research are obtained under section 4 and 20 of the Act</b>
(i)	Name of the person/entity/institution
(ii)	Postal address including PIN code
(iii)	E-mail ID, mobile, alternate mobile number and/or landline number
(iv)	NBA Application number
(v)	Date of approval
(vi)	Upload copy of the approval granted (in the form of agreement) by the National Biodiversity Authority
<b>(3)</b>	<b>Brief description about the commercial activity to be carried out by the applicant</b>
<b>(4)</b>	<b>Expected duration of the commercial utilization</b>
<b>(5)</b>	<b>Expected outcome and benefits that would flow to India/communities arising out of the commercial utilisation of the obtained results of research</b>
<b>(6)</b>	<b>Any other information considered relevant</b>
<b>(7)</b>	<b>Details of remittance of application fee</b> (Mode of payment, transaction ID, amount, date, etc.)
<b>Declaration</b>	
a. I/We further declare that the information provided in the application form is true and correct to the best of my/our knowledge and belief and that it conceals nothing and that no part of it is false.	

b. I/We shall be responsible for any incorrect or wrong information provided.	
Place	Signature.
Date	Name

**FORM-6***{See section 4 of the Act and rule 15(1)(d)}*

**Application for seeking prior approval of the National Biodiversity Authority to use the results of research for obtaining intellectual property rights by the transferee.**

<b>(1)</b>	<b>Particulars of applicant (Transferee)</b>	
(i)	Category of the applicant	(Drop down menu) a. Individual b. Individual and Entity c. Entity
(ii)	Name of the applicant	
(iii)	Status of the applicant	(Drop down menu) a. NRI b. Non-Indian c. Entity covered under section 3(2) of the Act
(iv)	Address of the applicant (Postal address including PIN code, email, mobile, alternate mobile number and/or landline number)	
(v)	Profile of the individual/entity	
(vi)	Nature of business (applicable if the applicant is an entity)	
(vii)	Turnover of the organization in Indian rupees (applicable if the applicant is an entity)	
(viii)	Authorized representative (applicable if the applicant is an entity)  (Postal address including PIN code, email, mobile, alternate mobile number and/or landline number)	
(ix)	Details of the patent attorney/contact person (Postal address including PIN code, e-mail, mobile, alternate mobile number and/or landline number)	
<b>(2)</b>	<b>Details of the transferor from whom the results of the research are obtained</b>	
(i)	Name of the person/entity/institution	
(ii)	Postal address including PIN code	
(iii)	E-mail ID, mobile, alternate mobile number and/or landline number	
<b>(3)</b>	<b>Details of the approval obtained from the National Biodiversity Authority by the transferor or person from whom results of research are obtained under section 4 of the Act</b>	
(i)	NBA Application number	
(ii)	Date of approval	
(iii)	Upload copy of the approval granted (in the form of agreement) by the National Biodiversity Authority	
<b>(4)</b>	<b>Details of the invention</b>	
(i)	Title of invention	
(ii)	Abstract/details of the invention	
(iii)	Details of the patent office where the applicant intends to file patent application for the said invention	
(iv)	Whether any intellectual property rights application has been filed in or outside India for the present invention as on date	
<b>(5)</b>	<b>Details of remittance of application fee</b>	