

FORM-3
{See section 4 of the Act and rule 15(1)(a)}

Application for seeking prior approval of the National Biodiversity Authority for sharing or transferring the results of research to section 3(2) persons for commercial purposes or otherwise

(1) Particulars of the applicant	
(i)	Category of the applicant (Drop-down menu) a. Individual b. Individual and Entity c. Entity
(ii)	Name of the applicant
(iii)	Status of the applicant (Drop down menu) a. Indian b. NRI c. Non-Indian d. Entity covered under section 3(2) of the Act e. Entity covered under section 7 of the Act
(iv)	Address of the applicant <i>(Postal address including PIN code, email, mobile and alternate mobile numbers and/or landline number)</i>
(v)	Profile of the individual/entity
(vi)	Nature of the business (applicable if the applicant is an entity)
(vii)	Details of the authorised representative for the applicant <i>(applicable if the applicant is an entity)</i> <i>(Name with postal address including PIN code, email, mobile and alternate mobile numbers and/or landline number)</i>
(viii)	Contact person of the applicant in India (If any) <i>(Name with postal address including PIN code, email, mobile and alternate mobile numbers and/or landline number)</i>
(2) Details of the results of research	
(i)	Details of the results of research conducted
(ii)	Details and nature of results of research to be transferred or shared
(3) Details of the biological resources used in the research	(Drop down menu) i. Nature of the biological resource(s) <i>(plants/animals/microbes/genetic material/variety/hybrid)</i> ii. Common name

		iii. Scientific name (Genus and species/ variety/strain) iv. Plant parts (Seed, stem, bark, leaf, flower, fruit, root, rhizome, genetic material, extract, exudate, etc.) v. Source of access (wild/cultivated/institution/repository/traders/ market) vi. Geographical location (village, taluk, district, state). If procured from a trader institute, their name, address and contact details to be provided; if procured from repository/ institution, then origin of collection to be provided.
(4) Details of the traditional knowledge associated thereto if any used in the research		
(i)	Details of traditional knowledge accessed (in not more than 100 words)	
(ii)	Name of the traditional knowledge holder (individual community)	
(iii)	Address of the traditional knowledge holder (individual/ community)	
(iv)	Consent obtained from the community/individual, if any	
(5) Details of the approval obtained from the Authority for undertaking research by section 3(2) persons		
(i)	NBA application number	
(ii)	Date of approval	
(iii)	Upload copy of the approval granted (in the form of agreement) by the National Biodiversity Authority	
(6) Details of the institution where research and development activities were carried out		
(i)	Provide the name and contact details of the institution/entity where research and development activities were carried out	
(ii)	Name of the institution	
(iii)	Contact details (<i>Postal address including PIN code, email, mobile and alternate mobile numbers and/or landline number</i>)	
(iv)	<i>Attach a copy of the letter issued to the applicant by the competent authority of the institution/ entity where research and development activities were carried out.</i>	
(7) Details of the individual/entity to whom the research results are intended to be transferred or shared.		
(i)	Name(s) and contact details of individual entity	

(ii)	Details of the intended use of research results by the transferee	
(8)	Details of economic, scientific or any other benefits that are intended, or may accrue to the applicant seeking approval for share or transfer of results of research.	
(9)	Details of any memorandum of understanding or agreement executed between the proposed recipient and the applicant seeking approval for share or transfer of results of research (Copy of Memorandum of Understanding /agreement, if any, to be uploaded.)	
(10)	Any other information considered relevant	
(11)	Details of remittance of application fee (Mode of payment, transaction ID, amount, date, etc.)	
Declaration		
I/We declare that:		
<p>a. I/We inform the transferee to register with the Authority if he/she intends to use the results of research for further research as per the extant provisions of the Act.</p> <p>b. I/We inform the transferee to seek prior approval of the Authority if he/she intends to use the results of research for commercial utilization or for obtaining intellectual property rights as per the extant provisions of the Act.</p> <p>c. The information provided in the application is true and correct to the best of my/our knowledge and belief that it conceals nothing and that no part of it is false. I/We shall be responsible for any incorrect or wrong information provided.</p>		
Place		Signature
Date		Name

FORM-4*{See section 4 of the Act and rule 15(1)(b)}***Application for registration with the National Biodiversity Authority to use the results of research for further research by the transferee**

(1)	Particulars of the applicant (transferee)	
(i)	Category of the applicant	(Drop down menu) a. Individual b. Individual and Entity c. Entity
(ii)	Name of the applicant	
(iii)	Status of the applicant	(Drop down menu) a. NRI b. Non-Indian c. Entity covered under section 3(2) of the Act