

## FORM-9

{See section 6(1B) of the Act and rule 16(3)(a)}

Application for seeking prior approval of the National Biodiversity Authority (post registration) for commercialisation of intellectual property rights by persons covered under section 7 of the Act

<b>(1) Particulars of the applicant</b>	
(i) Category of the applicant	(Drop down menu) a. Individual b. Individual and Entity c. Entity
(ii) Name of the applicant (as in patent application)	
(iii) Status of the applicant	(Drop down menu) a. Indian b. Entity covered under section 7 of the Act
(iv) Address of the applicant  (Postal address including PIN code, email, mobile and alternate mobile numbers and/or landline number)	
(v) Profile of the individual/entity	
(vi) Nature of business (applicable if the applicant is an entity)	
(vii) Details of the authorised representative for the applicant (applicable if the applicant is an entity)  (Name with postal address including PIN code, email, mobile and alternate mobile numbers and/or landline number)	
(viii) Details of the patent attorney/contact person (if applicable)  (Name with postal address including PIN code, email, mobile and alternate mobile numbers and/or landline number)	
<b>(2) Details of the registration made with the National Biodiversity Authority before grant of intellectual property rights</b>	
(i) NBA registration number	
(ii) Title of the invention	
(iii) Details of the patent office/countries in which patents have been granted as on date  (Copy of patent certificate issued by the patent office to be uploaded)	[List of countries to be in Drop down]
(iv) Upload copy of the latest Form-27 submitted with the Indian patent office, if any	
(v) Whether details of biological resources furnished in the registration and list of biological resources furnished in this application is one and the same or different?	Yes / No.
	If no, furnish the details of biological resources given below: a. Nature of the accessed biological resource(s) (Plant/animal/microorganism/fungi/algae/others) [Drop down] b. Common name c. Scientific name (Genus and species/variety/strain)

		<p>d. Plant parts (whole plant, seed, stem, bark, leaf, flower, fruit, root, rhizome, etc.)</p> <p>e. Source of access (Market/trader/ wild/ self-cultivated/repository/ others)</p> <p>f. Geographical location (village, taluk, district, state). If procured from trader/institute, entity's name, address, and contact details to be provided. If procured from repository/institution, then origin of collection is to be provided.</p>
<b>(3) Details of commercialisation</b>		
(i)	Details of each country where the invention is sought to be commercialized	<p>i. Name of the country (Drop-down)</p> <p>ii. Patent number</p> <p>iii. Mode of commercialization (Licensing/assignment/self-manufacture/transfer of particular patent rights alone/any other mode)</p> <p>iv. Details of each licensee/assignee/ transferee/ any other person <i>(Names with Postal addresses including PIN code, email, mobile and alternate mobile numbers and/or landline number)</i></p> <p>v. Period of commercialization <i>(in years)</i></p> <p>vi. Amount of revenue generated, if any / likely to be generated</p>
<b>(4) Any other information considered relevant</b>		
<b>(5) Details of remittance of application fee</b> (Mode of payment, transaction ID, amount, date, etc.)		
<b>Declaration</b>		
<p>I/We declare that: The information provided in the application form is true and correct to the best of my/our knowledge and belief and that it conceals nothing and that no part of it is false.</p> <p>a. I/We shall be responsible for any incorrect or wrong information provided.</p> <p>b. Prior intimation to the concerned State Biodiversity Board or Union territory Biodiversity Council shall be provided for accessing the biological resource and/or traditional knowledge associated thereto for commercial utilization as per section 7 of the Act.</p>		
Place:		Signature:
Date:		Name:

**FORM-10***{See section 36A of the Act and rule 18(1)}*

**Form for declaration of the use of biological resource(s) and/or associated traditional knowledge obtained from any foreign country, in India**

Sl .no	Particulars	
(1)	Name of the person or entity	
(2)	Category of the applicant	Individual Individual and Entity Entity
(3)	Status of the applicant	(Drop down menu) Indian NRI